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PTO/SB/21 (08/03) Approved for use through 08/30/2003, OMB 0651-0031

[Oo	Moder the Paperwork Reduction Act of 1995	i no nerso	U.S. Patent an ons are required to respond to a collection of Application Number	d Trademan	k Office; U.S. DEPARTMENT OF COMM	AERCE
		. 110 2010	Application Number	monnaton	10/600,258	
	TRANSMITTAL		Filing Date		June 20, 2003	
	FORM		First Named Inventor		MacCready, Paul B.	
(t	o be used for all correspondence after initial	filing)	Art Unit		3746	
			Examiner Name			
To	tal Number of Pages in This Submission	9	Attorney Docket Number		AVI 1005-04US	
		ENG	CLOSURES (Check all that ap	ply)		
V	Fee Transmittal Form		Drawing(s)		After Allowance communication to Technology Center (TC)	
	Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC	İ
	Amendment/Reply		Petition		(Appeal Notice, Brief, Reply Brief)	
	After Final		Petition to Convert to a Provisional Application		Proprietary Information	İ
	Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status Letter	
	Extension of Time Request		Terminal Disclaimer		Other Enclosure(s) (please Identify below):	
	Express Abandonment Request		Request for Refund			
	Information Disclosure Statement		CD, Number of CD(s)			
	Certified Copy of Priority Document(s)	Rem	arks			
П	Response to Missing Parts/					

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Signature

Date

March 17, 2004

Response to Missing Parts under 37 CFR 1.52 or 1.53

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	John Griecci		
Signature	John Jim	Date	March 17, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB coated acceptable. the Paperwork Reduction Act of 1995, no persons are required to re

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	for	FY	200	4	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.2
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TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Name (Print/Type)

Signature

John A. Griecci/

(\$)	130.00

spond to a collection of information unless it displays a valid OMB control number.						
Complete if Known						
Application Number	10/600,258					
Filing Date	June 20, 2003					
First Named Inventor	MacCready, Paul B.					
Examiner Name						
Art Unit	3746					
Attorney Docket No.	AVI 1005-04US					

(Complete (if applicable))

(310) 376-6527

March 17, 2004

Telephone

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
✓ Check Credit card Money Order None	3. ADDITIONAL FEES					
Deposit Account:	Large Enti					
Deposit	Fee Fe Code (\$			Fee (\$)	Fee Description	Fee Paid
Account Sumber 501809	• • •	' I	2051		Surcharge - late filing fee or oath	r ee raiu
Deposit Account Law Office of John A. Griecci	1052 5	50 2	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name	1053 13	30 1	1053	130	Non-English specification	
The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments	1812 2,5				For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804 9	20*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805 1,84	140* 1	1006	1 040*	Requesting publication of SIR after	
to the above-identified deposit account.	1803 1,64	,40 '	1603	1,040	Examiner action	
FEE CALCULATION	1251 1	10 :	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252 4	20 2	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253 9	50	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254 1,4	80 2	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255 2,0	10 :	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401 3	30	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402 3	30	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403 2	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451 1,5	510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)	1452 1	10	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,3	30 :	2453	665	Petition to revive - unintentional	
Fee from	1501 1,3	30 2	2501	665	Utility issue fee (or reissue)	
Total Claims Extra Claims below Fee Paid	1502 4	180	2502	240	Design issue fee	
Independent 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	1503 6	340	2503	320	Plant issue fee	
Claims - 3** = X = X Multiple Dependent	1460 1	130	1460	130	Petitions to the Commissioner	130.00
	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806 1	80	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	1
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809 7	70	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810 7	70	2810	385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims over original patent	1801 7	770	2801	385	examined (37 CFR 1.129(b)) Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent			1802	900		
SUBTOTAL (2) (\$)	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.00					00

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

39.694

pplication No.

10/600,258

Confirmation No. 7491

Applicant 5

MACCREADY et al.

Filed

June 20, 2003

Art Unit

3746

Examiner

Docket No.

AVI 1005-04US

10 Customer No. 28327

Date:

March 17, 2004

Mail Stop: Petition

Honorable Commissioner for Patents

. 15 P.O. Box 1450

Alexandria, VA 22313-1450

PETITION TO REFUND EXTENSION FEES

20 Dear Sir:

I. **REQUEST**

Applicants previously filed a petition for a four month extension of time and a check for \$740.00, along with a reply to a Notice of Missing Parts ("the Notice"). The present petition requests that the extension of time fees, in the amount of \$740.00, be refunded to the Applicants.

Π. RELEVANT DATES AND OCCURANCES

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August 27, 2003: The Notice was apparently mailed by the Patent Office to The Law Office of John A. Griecci (the "Law Office"), with a shortened statutory deadline of two months. The Notice recites the correct correspondence address, but was never received by the Law Office.

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February 5, 2004: Attorney John Griecci of the Law Office contacted the Patent Office by telephone to request the status of the application, and was informed of the mailing date of the Notice. At Mr. Griecci's request, the Patent Office agreed to send a courtesy copy of the Notice to the Law Office by facsimile. Mr. Griecci made a search of the file at the Law Office

33/23/2004 AUDMAF1 00000029 10600258

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and verified that the Notice had not been received. Enclosed is a phone memoranda prepared on February 5, 2004, recording the subject discussed in the telephone call.

February 10, 2004: After a second request by Mr. Griecci, a courtesy copy of the Notice was sent to the Law Office by facsimile. Enclosed please find a copy of a cover letter that accompanied the Notice.

February 26, 2004: Applicants filed a response to the Notice, along with a petition for a four month extension of time to respond to the Notice. That petition required the payment of a \$740.00 fee under 37 C.F.R. 1.17(a)(4). Enclosed please find a copy of the petition for a four month extension of time to respond to the Notice.

15 III. EXPLANATION OF WHY A REFUND IS APPROPRIATE

On February 5, 2004, an inquiry to the Patent Office by the Law Office resulted in Applicants learning that the Notice had apparently been mailed over five months prior to that date. Applicants prepared and filed a response to the Notice during the three weeks remaining within the 6 month statutory period for the Notice.

Had the Law Office not checked on the status of the application, Applicants' first knowledge of the Notice would have been upon receipt of a Notice of Abandonment. In that case, Applicants would have been entitled to petition for revival of the application as unavoidably abandoned, with only the payment of a \$55.00 petition fee. It is inappropriate for Applicants to be penalized with a \$740.00 extension fee simply because they were diligent enough to discover the problem prior to abandonment.

Therefore, it is appropriate to reset the response period of the Notice of Missing Parts to run from February 5, 2004, the date Applicants first learned that it had

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been mailed. It is further appropriate to then refund the extension of time fee paid by Applicants.

In the alternative, it is appropriate for the Patent Office to remove the shortened statutory deadline, which is applied at the prerogative of the Commissioner. As noted above, it is further appropriate to then refund the extension of time fee paid by Applicants.

IV. MANNER OF REFUND

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Applicants respectfully requests a refund of \$740.00 be credited to Deposit Account No. 50-1809, and that the Law Office of John A. Griecci be notified that the refund has occurred.

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Respectfully submitted,

By:

Registration No. 39,694

The Law Office of John A. Griecci 703 Pier Avenue, Suite B #657

Hermosa Beach, California 90254 25

(310) 376-6527 (phone)

(310) 376-6529 (facsimile)

ME 1050

THE LAW OFFICE OF JOHN A. GRIECCI

Date/T	ime: $\frac{2/5/04}{}$		File No:	
To:	States		Phone Number: 800-786-9199	
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United States Patent and Trademark Office

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ATTY. DOCKET NO /TITLE FIRST NAMED APPLICANT FILING OR 371 (c) DATE APPLICATION NUMBER

10/600,258

703 PIER AVE., SUITE B #657

HERMOSA BEACH, CA 90254

THE LAW OFFICE OF JOHN A. GRIECCI

06/20/2003

Paul MacCready

AVI1005-04US

CONFIRMATION NO. 7491

RESEND COVER LETTER

OC000000011875180

Date Mailed: 02/11/2004

COVER LETTER FOR RESENDING CORRESPONDENCE

A courtesy copy of the Notice mailed on 08/27/2003 is enclosed. The time period for reply continues to run from the mail date of that Notice.



A copy of this notice MUST be returned with the reply.

PTO/SB/22 (08-03)

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nder the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless if displays a valid OMB control number.

IT &								
	ION FO	R EX	TENSION OF TIME UNI	DER 37 CFR	1.136(a)	Docket Number	er (Optional)	AVI 1005-04US
				In re Applica	tion of	M	ACCREADY 6	et al.
				Application I	Number	10/600,258	Filed	June 20, 2003
				For	HYDRO	GEN POW	ERED All	RCRAFT
				Art Unit	3746	Examine	er	
This is a	a reques tion.	t unde	er the provisions of 37 CFR	1.136(a) to ext	end the perio	d for filing a re	ply in the ab	ove identified
The req	uested e	extens	ion and appropriate non-sm	nall-entity fee a	re as follows ((check time pe	riod desired) :
	□ Or	ne mo	nth (37 CFR 1.17(a)(1))					\$
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	☐ Th	iree m	onths (37 CFR 1.17(a)(3))		(())/	MO		\$
	⋉ Fo	ur mo	nths (37 CFR 1.17(a)(4))				,	\$1,480.00
	☐ Fiv	ve moi	nths (37 CFR 1.17(a)(5))			•		\$
⋉ Ap	pplicant alf, and t	claims he res	s small entity status. See 37 sulting fee is: \$740.00	CFR 1.27. The	erefore, the fe	e amount sho	wn above is	reduced by one-
X A	check i	n the	amount of the fee is enc	losed.				
□ Pa	ayment	by cr	edit card. Form PTO-203	88 is attached				
TI	he Direc	ctor h	as already been authoriz	ed to charge	fees in this a	application to	a Deposit	Account.
X Ti	he Direc	ctor is	hereby authorized to chount Number	arge any fees 50-1809	which may ——·	be required,	or credit ar	ny overpayment,
			d a duplicate copy of this					
1 8	am the		applicant/inventor.					
			assignee of record of the Statement under 37	ne entire inter CFR 3.73(b)	est. See 37 is enclosed	CFR 3.71. (Form PTO/S	SB/96).	
		X	attorney or agent of rec				,694	
			attorney or agent under	ting under 37 CF	R 1.34(a)	39,694	·	
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		F	ebruary 26, 2004			1/ / Sin	nature	
			Date			John Griecci,		304
			(310) 376-6527				printed name	354
NOTE: Sigr	natures of	all the in	elephone Number nventors or assignees of record of the	ne entire interest or	their representat	••		e forms if more than one
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